FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL        |           |  |  |  |  |  |  |  |
|---------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:         | 3235-0287 |  |  |  |  |  |  |  |
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0.5

hours per response:

| Check this box if no longer subject t | to |
|---------------------------------------|----|
| Section 16. Form 4 or Form 5          |    |
| obligations may continue. See         |    |
| Instruction 1(b).                     |    |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*  DANID CIP LONATTHON    |   |  |  |                              |   |   |         |                                      |                                    |  |                        |   |                 |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)                   |   |   |   |             |  |  |
|---|---|--|--|------------------------------|---|---|---------|--------------------------------------|------------------------------------|--|------------------------|---|-----------------|---|---|---|---|---|-------------|--|--|
| BAND SIR JONATHON   |   |  |  |                              |   |   |         |                                      |                                    | •  |                        |   |                 | X   | Direc   | ctor  | 1   | 0% O  | wner        |  |  |
| (Last) (First) (Middle) C/O CARNIVAL CORPORATION              |   |  |  |                              | 3. Date of Earliest Transaction (Month/Day/Year) 04/06/2017 |   |         |                                      |                                    |  |                        |   |                 |   | Office<br>below   | er (give title<br>w)  |   | Other (specify below)   |             |  |  |
| 3655 N.W. 87TH AVENUE   |   |  |  | 4. If                        | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |   |         |                                      |                                    |  |                        |   |                 | 6. Individual or Joint/Group Filing (Check Applicable                     |   |   |   |   |             |  |  |
| (Street) MIAMI  | FL  | , 3  | 33178  |                              |   |   |         |                                      |                                    |  |                        |   |                 |   | Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |   |   |   |             |  |  |
| (City)  | (St   | ate) (                                     | Zip)   |                              |   |   |         |                                      |                                    |  |                        |   |                 |   |   |   |   |   |             |  |  |
|   |   | Tabl                                       | e I - Noi  | n-Deriv                      | ative   | Se  | curitie | s Acq                                | uired,                             | Dis  | posed o                | f, o  | r Ben           | efici   | ally (  | Owne  | ed  |   |             |  |  |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Date) |   |  |  | n/Day/Year) it               |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |         | Transaction Disposed Code (Instr. 5) |                                    | ties Acquired (A)<br>I Of (D) (Instr. 3, 4 |                        |   | nd              | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |             |  |  |
|   |   |  |  |                              |   |   |         |                                      | Code                               | v  | Amount                 |   | (A) or<br>(D)   | Price   | . 1   | Transaction(s)<br>(Instr. 3 and 4)                                |   |   |             | (111501. 4)  |  |
| Common Stock 0  |   |  |  |                              | 04/06/2017  |   |         |                                      |                                    |  | 2,709 <sup>(2)</sup> A |   | \$(             | 0 20,690.767 <sup>(3)</sup>   |   | D   |   |   |             |  |  |
|   |   | Та   |  |                              |   |   |         |                                      |                                    |  | sed of,<br>onvertib    |   |                 |   | y Ov  | vned  |   | ,   |             |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Da<br>if any<br>(Month/Day/Y | Date, Transaction Code (Inst |   |   |         |                                      | 6. Date E<br>Expiratic<br>(Month/D | n Dat                                      |                        | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |                 | str. 3  | Deriv<br>Secu   | Price of<br>rivative<br>curity<br>str. 5)                         | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | Ownershi<br>Form:<br>Direct (D)<br>or Indirec<br>(I) (Instr. 4    | (D)<br>rect | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |  |                              | Code  |   | (A)     | (A) (D)                              |                                    |  | Expiration<br>Date     | Title   | or<br>Nur<br>of | ount<br>nber<br>ires  |   |   |   |   |             |  |  |

## **Explanation of Responses:**

- 1. Issued pursuant to the Carnival Corporation 2011 Stock Plan. The restriction on the shares lapses on the third anniversary of the grant date.
- 2. The Board of Directors approved a value of \$160,000 to be awarded to the reporting person in the form of restricted shares. The number of shares was determined by dividing the closing price of a share of Carnival Corporation common stock on April 6, 2017 and dividing it into \$160,000, then rounding down to the nearest whole share.
- 3. Includes shares acquired pursuant to the dividend reinvestment feature of the reporting person's brokerage account.

/s/ Sir Jonathon Band 04/10/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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