## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

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## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

1. Name and Address of Reporting Person* FRANK HOWARD S						2. Issuer Name <b>and</b> Ticker or Trading Symbol  CARNIVAL CORP [ CCL ]									Check all ap			Owner
(Last) (First) (Middle)  CARNIVAL CORPORATION  3655 NW 87TH AVE					3. Date of Earliest Transaction (Month/Day/Year) 11/26/2013									X Offi belo			(specify /)	
(Street) MIAMI (City)	FL (St		33178 Zip)		4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)					Execution Date,		Code	Transaction Disposed Of (D) (Instr. 3, Code (Instr. 5)				d Secur Bene	icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
										v	Amount	( <i>A</i>	A) or D)	Price	Trans	action(s) 3 and 4)		(11150.4)
Common Stock 11/26/				/2013	2013		A <sup>(1)</sup>		81,134	(2) <b>A</b>		\$	206,827.2926		D			
Common Stock 11/20			11/26	/2013	2013			F		34,036	(3)	D	\$	0 172	,791.2926	D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/\)	Date, Transacti Code (Ins					6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)		str. 3	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				c	Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Ame or Nun of Sha					

## **Explanation of Responses:**

- 1. Grant of restricted stock made pursuant to the Carnival Corporation 2011 Stock Plan. The restriction on the shares lapse on the third anniversary of the grant date.
- 2. The grant was approved by the Compensation Committee as a total value to be received in the form of restricted shares. The Compensation Committee also approved that the number of shares was to be determined by dividing the value by the closing price on date of grant. Because as of the date of grant, the Reporting Person was eligible for retirement due to his age, 40,567 shares of restricted stock vested on November 26, 2013.
- $3.\ Represents\ a\ surrender\ of\ shares\ to\ satisfy\ tax\ obligation\ on\ the\ vesting\ of\ the\ Restricted\ Stock\ Award.$

<u>/s/ Howard S. Frank</u> <u>11/27/2013</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.