SEC Form 4	
------------	--

П

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OWR APPI	ROVAL
OMB Number:	3235-0287
Estimated average b	urden

	-
hours per response:	0.5
Estimated average burden	

1. Name and Ad Bernstein I	dress of Reporting <mark>David</mark>	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>CARNIVAL PLC</u> [ CUK ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) CARNIVAL 3655 NW 87	(First) CORPORATION	(Middle) N	3. Date of Earliest Transaction (Month/Day/Year) 01/16/2018	X Officer (give title Other (specify below)     CFO & CAO
(Street) MIAMI (City)	FL (State)	33178 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	II-Derivative S	counties Acq	uncu,		p03cu 01, t		chiciality	Owneu																					
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction I Code (Instr.		Transaction Code (Instr.		Code (Instr.		Transaction Code (Instr.		Transaction Code (Instr.		Transaction Code (Instr.		Transaction Code (Instr.		Transaction D Code (Instr. 5		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Disposed Of (D) (Instr. 3,		Disposed Of (D) (Instr. 3, 4 and		ansaction Disposed Of (D) (Instr. 3, 4 a ode (Instr. 5)		posed Of (D) (Instr. 3, 4 and Beneficially Owned Following Reported		of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)																			
Trust Shares (beneficial Interest In Special Voting Share) <sup>(1)</sup>	01/16/2018		A <sup>(2)</sup>		8,517 <sup>(3)</sup>	A	\$ <mark>0</mark>	34,620	D																				
Trust Shares (beneficial Interest In Special Voting Share) <sup>(1)</sup>	01/16/2018		F		3,419 <sup>(4)</sup>	D	\$68.52	31,201	D																				
Trust Shares (beneficial Interest In Special Voting Share) <sup>(1)</sup>	01/16/2018		S		2,600	D	\$69.59	28,601	D																				

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Nu of Deriv Secu Acqu (A) or Dispo of (D) (Instr and 5	ative rities ired osed . 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title Amour Securi Underl Deriva Securi and 4)	nt of ties ying tive ty (Instr. 3	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

2. Grant of restricted stock units ("RSUs") made pursuant to the Carnival Corporation 2011 Stock Plan. Award of RSUs represent a hypothetical interest in Carnival Corporation common stock. The restriction on the RSUs lapse on the second anniversary of the grant date. The RSUs will accumulate dividend equivalents and may only be settled in shares.

3. The grant was approved by the Compensation Committee as a total value to be received in the form of RSUs. The Compensation Committee also approved that the number of RSUs were determined by dividing the value by the closing price on date of grant.

4. Represents a surrender of shares to satisfy a tax obligation on the vesting of the RSUs.

|--|

01/18/2018 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.