

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) November 30, 2020

Carnival Corporation  
\_\_\_\_\_  
(Exact name of registrant as specified in its charter)  
Republic of Panama  
\_\_\_\_\_  
(State or other jurisdiction of incorporation)  
001-9610  
\_\_\_\_\_  
(Commission File Number)  
59-1562976  
\_\_\_\_\_  
(IRS Employer Identification No.)  
3655 N.W. 87th Avenue  
Miami, Florida 33178-2428  
\_\_\_\_\_  
(Address of principal executive offices)  
(Zip Code)  
(305) 599-2600  
\_\_\_\_\_  
(Registrant's telephone number, including area code)  
None  
\_\_\_\_\_  
(Former name or former address, if changed since last report.)



Carnival plc  
\_\_\_\_\_  
(Exact name of registrant as specified in its charter)  
England and Wales  
\_\_\_\_\_  
(State or other jurisdiction of incorporation)  
001-15136  
\_\_\_\_\_  
(Commission File Number)  
98-0357772  
\_\_\_\_\_  
(IRS Employer Identification No.)  
Carnival House, 100 Harbour Parade  
Southampton SO15 1ST, United Kingdom  
\_\_\_\_\_  
(Address of principal executive offices)  
(Zip Code)  
011 44 23 8065 5000  
\_\_\_\_\_  
(Registrant's telephone number, including area code)  
None  
\_\_\_\_\_  
(Former name, former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrants under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

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Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock (\$0.01 par value)	CCL	New York Stock Exchange, Inc.
Ordinary Shares each represented by American Depository Shares (\$1.66 par value) Special Voting Share, GBP 1.00 par value and Trust Shares of beneficial interest in the P&O Princess Special Voting Trust	CUK	New York Stock Exchange, Inc.
1.625% Senior Notes due 2021	CCL21	New York Stock Exchange LLC
1.875% Senior Notes due 2022	CUK22	New York Stock Exchange LLC
1.000% Senior Notes due 2029	CUK29	New York Stock Exchange LLC

Indicate by check mark whether the registrants are emerging growth companies as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2) of this chapter).

Emerging growth companies

If emerging growth companies, indicate by check mark if the registrants have elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02(b) Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On November 30, 2020, the Boards of Directors of Carnival Corporation and Carnival plc (together, the “Company”) determined that Stein Kruse, former Group Chief Executive Officer of Holland America Group and Carnival UK and a named executive officer, is no longer deemed an executive officer of the Company, given the change in his role to Special Advisor to the Chairman and to the Chief Executive Officer, effective December 1, 2020.

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## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, each of the registrants has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### CARNIVAL CORPORATION

By: /s/ David Bernstein  
Name: David Bernstein  
Title: Chief Financial Officer and Chief Accounting Officer

Date: December 4, 2020

### CARNIVAL PLC

By: /s/ David Bernstein  
Name: David Bernstein  
Title: Chief Financial Officer and Chief Accounting Officer

Date: December 4, 2020