| SEC Form 4 | |
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FORM 4

deynes bettina alejandra

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3. Date of Earliest Transaction (Month/Day/Year)

04/08/2024

OMB APPROVAL

10% Owner

below)

Other (specify

Director

below)

Person

X

Line)

X

Officer (give title

Chief Human Resources Officer

6. Individual or Joint/Group Filing (Check Applicable

Form filed by One Reporting Person

Form filed by More than One Reporting

| to Section 16. Form 4 or Form 5 obligations may continue. See | Form 5 | | | | | |
|--|--|---|-------------------------------|--------|--|--|
| 1. Name and Address of Reporting Person* | 2. Issuer Name and Ticker or Trading Symbol <u>CARNIVAL CORP</u> [CCL] | 5. Relationship of R (Check all applicab | Reporting Person(s) to le) | lssuer | | |

| (Last) | (First) | (Middle) |
|-----------|--------------|----------|
| C/O CARN | IVAL CORPORA | ATION |
| 3655 N.W. | 87TH AVENUE | |
| | | |

| (Street) MIAMI | FL | 33178 |
|-------------------|---------|-------|
| (City) | (State) | (Zip) |

Rule 10b5-1(c) Transaction Indication

4. If Amendment, Date of Original Filed (Month/Day/Year)

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | | | | | Securities Beneficially | Form: Direct | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|-------------------------|---|-----------------------|---------------|-------|------------------------------------|--------------|---|
| | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (11001.4) |
| Common Stock | 04/08/2024 | A ⁽¹⁾ | | 17,465 ⁽²⁾ | Α | \$0 | 45,277 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 | | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|---|-----|--|--------------------|--|---|--|--------------------------------------|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | |

Explanation of Responses:

1. Grant of time vested restricted stock units ("RSUs") made pursuant to the Carnival Corporation 2020 Stock Plan. Each RSU represents a hypothetical interest in one share of Carnival Corporation common stock. The RSUs will vest on a 3-year pro-rata basis in April 2025, 2026 and 2027. The RSUs will accumulate dividend equivalents and may only be settled in shares

2. The grant was approved by the Compensation Committees as a total value to be received in the form of RSUs. The number of RSUs was determined by dividing the grant value by the average of the closing prices of a share of Carnival Corporation common stock over a 10-business day period ending on the date of grant, then rounding down to the nearest whole share.



** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.